

#### PATENT APPLICATION

### THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q95455

Takashi YAMASHITA, et al.

Appln. No.: 10/585,693

Group Art Unit: Not yet assigned

Confirmation No.: 4348

Examiner: Not yet assigned

Filed: July 10, 2006

For: TRANSGENIC BIRD AND METHOD OF CONSTRUCTING THE SAME

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/585,693

Atty. Docket No.: Q95455

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The documents being submitted are English translations of the 3 non-patent literature

documents listed on the PTO form SB/08 filed November 9, 2006.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 30,951

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: December 4, 2006

2

Substitute for Form 1449 A & B/PTO

DEC 0 4 2006

# INFORMATION DISCLASURE STATEMENT BY APPLICATION

(use as many sheets as necessary)

Sheet	1	of	1

Complete if Known				
Application Number	10/585,693			
Confirmation Number	4348			
Filing Date	July 10, 2006			
First Named Inventor	Takashi YAMASHITA			
Art Unit	[group_art]			
Examiner Name	[matter_examiner]			
Attorney Docket Number	Q95455			

	U.S. PATENT DOCUMENTS					
Examiner Ci Initials* No	Cita	Document Number		Dublication Data		
	No.1	Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US				
		US				
		US			<del></del>	
		US				
		US				
		US				
		US				
		US				
		US				

	FOREIGN PATENT DOCUMENTS						
Examiner Cite	Foreign Patent Document			Publication Date	Name of Patentee or		
Initials*	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)		Applicant of Cited Document	Translation <sup>6</sup>
	· · · · · · · · · · · · · · · · · · ·						
	<u>-</u>						
			<del></del>				
						· · · · · · · · · · · · · · · · · · ·	

NON PATENT LITERATURE DOCUMENTS					
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
		KEN'ICHIRO ONO, et al., "ScFv-Fc Yugo Tanpakushitsu o Seisan suru Transgenic Uzura no Sakusei", The Society of Chemical Engineers, Japan, Shuki Taikai Kenkyu Happyo Koen Yoshishu, Vol. 36 <sup>th</sup> , 2003, Page 780	Yes		
		MASAMICHI UEHIRA, et al., "Transgenic Niwatori ni your Ko-hito CD2 Chimera Kotai no Seisan" The Society of Chemical Engineers, Japan, Shuki Taikai Kenkyu Happyo Koen Yoshishu, Vol 36 <sup>th</sup> , 2003, Page 781	Yes		
		MASAMICHI UEHIRA, et al., "Transgenic Niwatori ni yoru Monoclonal kotai no Seisan", The Molecular Biology Society of Japan, Nenkai Program Koen Yoshishu, Vol. 26 <sup>th</sup> , 2003, Page 791, 2C-182	Yes		

Examiner Signature	Date Considered	
	 1 2 3 3 4 3 3 3 4 3	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.